



# Account Maintenance Form

**Regular Mail:**

Ariel Investment Trust  
c/o U.S. Bank Global Fund Services  
PO Box 219227  
Kansas City, MO 64121-9227

**Overnight Mail:**

Ariel Investment Trust  
c/o U.S. Bank Global Fund Services  
801 Pennsylvania Ave., Suite 219227  
Kansas City, MO 64105-1307

For additional information, please call toll-free 800-292-7435 or visit us on the web at [arielinvestments.com](http://arielinvestments.com).

This form is used to make changes to your existing account(s). Please read the Ariel Investment Trust prospectus for complete information about requirements and procedures for account options. Some options on this form may not be permitted for your account.

## 1 Account Information | If address for Joint Owner(s)/Authorized Signer(s) is identical, please write "Same".

☐ If this box is checked, I/we give Ariel Investment Trust authorization to update the address of record to the address listed on this form under Owner Name if it is different than the Fund's records. A signature of all owners must be included in the Signature & Certification section in order for this change to be valid.

<input type="text"/>	<input type="text" value="XXX-XX-"/>	<input type="text"/>
NAME OF TAXABLE OWNER / TRUST / CORPORATION / ENTITY	SOCIAL SECURITY / TAX ID NUMBER	PHONE NUMBER

<input type="text"/>	<input type="text"/>
STREET ADDRESS	CITY / STATE / ZIP

<input type="text"/>	<input type="text" value="XXX-XX-"/>	<input type="text"/>
NAME OF JOINT OWNER / TRUSTEE / CUSTODIAN / AUTHORIZED SIGNER	SOCIAL SECURITY / TAX ID NUMBER	PHONE NUMBER

<input type="text"/>	<input type="text"/>
STREET ADDRESS	CITY / STATE / ZIP

<input type="text"/>	<input type="text" value="XXX-XX-"/>	<input type="text"/>
NAME OF JOINT OWNER / TRUSTEE / CUSTODIAN / AUTHORIZED SIGNER	SOCIAL SECURITY / TAX ID NUMBER	PHONE NUMBER

<input type="text"/>	<input type="text"/>
STREET ADDRESS	CITY / STATE / ZIP

Please indicate account(s) that require change:

<input type="text"/>	<input type="text"/>	<input type="text"/>
FUND NAME	FUND NUMBER	ACCOUNT NUMBER

<input type="text"/>	<input type="text"/>	<input type="text"/>
FUND NAME	FUND NUMBER	ACCOUNT NUMBER

<input type="text"/>	<input type="text"/>	<input type="text"/>
FUND NAME	FUND NUMBER	ACCOUNT NUMBER

## 2 Telephone Options

Please select the telephone purchase, exchange and redemption options to add to the account (select all that apply):

☐ Telephone/Online Purchase via Automated Clearing House (ACH)

☐ Telephone/Online Exchange

Telephone/Online Redemption By: ☐ Wire ☐ ACH ☐ Check to Address of Record

Please review the Fund's prospectus carefully, telephone and online transaction abilities vary by fund and/or account type and may require a Signature Guarantee to be added or updated.

Please complete the Bank Information section for purchase or redemption via a bank checking or savings account if bank information has not already been established.

## 3 Bank Information\* | Check appropriate action and attach preprinted, voided check or preprinted deposit slip.

☐ **Add Bank Information** - The existing telephone options on your account will be utilized with the new bank information if the Telephone Options section above is not completed.

☐ **Change Existing Bank Information** - The existing telephone options on your account will be utilized with this change of bank information if the Telephone Options section above is not completed.

☐ **Remove Existing Bank Information:** No longer valid as of \_\_\_\_\_.  
(MM/DD/YYYY)

Note: Your bank information will be removed immediately if no date is specified.

Please attach a pre-printed, voided check, or a pre-printed deposit slip below.

Account Type: ☐ Checking ☐ Savings

Note: We are unable to draft or credit your account via ACH if it is a mutual fund or pass-through ("further credit to") account.

John Doe Jane Doe 123 Main St. Anytown, USA 12345	53289
Pay to the order of _____ \$ _____	
_____. DOLLARS	
Memo _____	Signed _____
⑆ 1 2 3 4 5 6 7 8 ⑆      ⑆ 1 2 3 4 5 6 7 8 5 6 7 8 ⑆	

\* Adding or changing bank information may require a signature guarantee per the Fund's prospectus.

\*\* Please be advised that a signature guarantee is required in order to add bank information belonging to someone other than the account owner(s). The bank account owner(s) must sign in the Bank Account Owner(s) Signatures and Signature Guarantee section and obtain a signature guarantee.

## 4 Systematic Options | Automatic Investment Plan (AIP)

### A Add New AIP

Please allow up to 7 business days after receipt of this form before your AIP will be effective.

Please see your Fund's prospectus for requirements on automatic investment plans for details on balance requirements, purchase minimums and frequency. If the AIP cannot be made due to insufficient funds or stop payment, a \$25 fee will be assessed on your account. The AIP will then be terminated after two such consecutive occurrences.

  
FUND AND ACCOUNT NUMBER

Purchase Bank Information:

☐ Existing Bank Information ☐ New Bank Information\*

AIP START DATE (MONTH/YEAR)

DAY(S) OF THE MONTH

DOLLAR AMOUNT

**Note:** The AIP will be purchased on the date requested or first business day after.

**Frequency (check one):** ☐ Monthly ☐ Quarterly ☐ Semi-Annually ☐ Annually

\*Please complete the Bank Information section if new bank information is being used for the Automatic Investment Plan.

  
FUND AND ACCOUNT NUMBER

Purchase Bank Information:

☐ Existing Bank Information ☐ New Bank Information\*

AIP START DATE (MONTH/YEAR)

DAY(S) OF THE MONTH

DOLLAR AMOUNT

**Note:** The AIP will be purchased on the date requested or first business day after.

**Frequency (check one):** ☐ Monthly ☐ Quarterly ☐ Semi-Annually ☐ Annually

\*Please complete the Bank Information section if new bank information is being used for the Automatic Investment Plan.

  
FUND AND ACCOUNT NUMBER

Purchase Bank Information:

☐ Existing Bank Information ☐ New Bank Information\*

AIP START DATE (MONTH/YEAR)

DAY(S) OF THE MONTH

DOLLAR AMOUNT

**Note:** The AIP will be purchased on the date requested or first business day after.

**Frequency (check one):** ☐ Monthly ☐ Quarterly ☐ Semi-Annually ☐ Annually

\*Please complete the Bank Information section if new bank information is being used for the Automatic Investment Plan.

## 4 Systematic Options | Automatic Investment Plan (AIP) continued

### B Update Existing AIP

This form must be received at least 5 days prior to the effective date of the next transaction in order to change or terminate your transaction.

Please complete the Bank Information section if new bank information is being used.

If you are changing your bank information, please indicate the last date you would like your current AIP to run:

☐ Stop Immediately

☐ Specific Date \_\_\_\_\_  
(MM/DD/YYYY)

**Note:** Your AIP will be stopped immediately if no date is specified.

\_\_\_\_\_  
FUND AND ACCOUNT NUMBER

Purchase Bank Information:

☐ Existing Bank Information

☐ New Bank Information\*

\_\_\_\_\_  
AIP START DATE (MONTH/YEAR)

\_\_\_\_\_  
DAY(S) OF THE MONTH

\$ \_\_\_\_\_  
DOLLAR AMOUNT

**Note:** The AIP will be purchased on the date requested or first business day after.

**Frequency (check one):** ☐ Monthly ☐ Quarterly ☐ Semi-Annually ☐ Annually

\*Please complete the Bank Information section if new bank information is being used for the Automatic Investment Plan.

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If you are changing your bank information, please indicate the last date you would like your current AIP to run:

☐ Stop Immediately

☐ Specific Date \_\_\_\_\_  
(MM/DD/YYYY)

**Note:** Your AIP will be stopped immediately if no date is specified.

\_\_\_\_\_  
FUND AND ACCOUNT NUMBER

Purchase Bank Information:

☐ Existing Bank Information

☐ New Bank Information\*

\_\_\_\_\_  
AIP START DATE (MONTH/YEAR)

\_\_\_\_\_  
DAY(S) OF THE MONTH

\$ \_\_\_\_\_  
DOLLAR AMOUNT

**Note:** The AIP will be purchased on the date requested or first business day after.

**Frequency (check one):** ☐ Monthly ☐ Quarterly ☐ Semi-Annually ☐ Annually

\*Please complete the Bank Information section if new bank information is being used for the Automatic Investment Plan.

## 4 Systematic Options | Automatic Investment Plan (AIP) continued

### B Update Existing AIP continued

If you are changing your bank information, please indicate the last date you would like your current AIP to run:

☐ Stop Immediately

☐ Specific Date \_\_\_\_\_  
(MM/DD/YYYY)

**Note:** Your AIP will be stopped immediately if no date is specified.

FUND AND ACCOUNT NUMBER

Purchase Bank Information:

☐ Existing Bank Information

☐ New Bank Information\*

AIP START DATE (MONTH/YEAR)

DAY(S) OF THE MONTH

DOLLAR AMOUNT

**Note:** The AIP will be purchased on the date requested or first business day after.

**Frequency (check one):** ☐ Monthly ☐ Quarterly ☐ Semi-Annually ☐ Annually

\*Please complete the Bank Information section if new bank information is being used for the Automatic Investment Plan.

## 5 Capital Gain and Dividend Options

		Capital Gains		Dividends	
		Reinvest	Cash*	Reinvest	Cash*
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FUND NUMBER	ACCOUNT NUMBER				
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FUND NUMBER	ACCOUNT NUMBER				
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FUND NUMBER	ACCOUNT NUMBER				

\*Cash distributions should be paid by (select one): ☐ Check to Address of Record ☐ ACH to Bank of Record

\*If you choose the option to have distributions sent via ACH to bank of record, please confirm whether you have valid bank information currently on record. If adding or changing bank information, please complete the Bank Information section.

## 6 Systematic Options | Systematic Withdrawal Plan (SWP)

### A Add New SWP

Please see the Fund's prospectus for requirements on systematic withdrawal plans, details on balance requirements, minimum withdrawal amounts, and frequency.

<input type="text"/>			<b>Note:</b> The SWP will be withdrawn on the date requested or the first business day after.
FUND AND ACCOUNT NUMBER			
<input type="text"/>	<input type="text"/>	<input type="text"/>	
SWP START DATE (MONTH/YEAR)	DAY(S) OF THE MONTH	DOLLAR AMOUNT	
<b>Frequency (check one):</b> <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annually <input type="checkbox"/> Annually			
<b>Send proceeds by (check one):</b> <input type="checkbox"/> Check <input type="checkbox"/> ACH to (check one): <input type="checkbox"/> Existing Bank Info <input type="checkbox"/> New Bank Info* <input type="checkbox"/> Special Payee*			
<input type="text"/>		<input type="text"/>	
MAKE CHECK PAYABLE TO		STREET ADDRESS / CITY / STATE / ZIP	

<input type="text"/>			<b>Note:</b> The SWP will be withdrawn on the date requested or the first business day after.
FUND AND ACCOUNT NUMBER			
<input type="text"/>	<input type="text"/>	<input type="text"/>	
SWP START DATE (MONTH/YEAR)	DAY(S) OF THE MONTH	DOLLAR AMOUNT	
<b>Frequency (check one):</b> <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annually <input type="checkbox"/> Annually			
<b>Send proceeds by (check one):</b> <input type="checkbox"/> Check <input type="checkbox"/> ACH to (check one): <input type="checkbox"/> Existing Bank Info <input type="checkbox"/> New Bank Info* <input type="checkbox"/> Special Payee*			
<input type="text"/>		<input type="text"/>	
MAKE CHECK PAYABLE TO		STREET ADDRESS / CITY / STATE / ZIP	

<input type="text"/>			<b>Note:</b> The SWP will be withdrawn on the date requested or the first business day after.
FUND AND ACCOUNT NUMBER			
<input type="text"/>	<input type="text"/>	<input type="text"/>	
SWP START DATE (MONTH/YEAR)	DAY(S) OF THE MONTH	DOLLAR AMOUNT	
<b>Frequency (check one):</b> <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annually <input type="checkbox"/> Annually			
<b>Send proceeds by (check one):</b> <input type="checkbox"/> Check <input type="checkbox"/> ACH to (check one): <input type="checkbox"/> Existing Bank Info <input type="checkbox"/> New Bank Info* <input type="checkbox"/> Special Payee*			
<input type="text"/>		<input type="text"/>	
MAKE CHECK PAYABLE TO		STREET ADDRESS / CITY / STATE / ZIP	

\*Requesting proceeds to a checking or savings account may require a signature guarantee if we do not have the bank information on record. Please complete the Bank Information section to establish bank information. Establishing a Special Payee may require a signature guarantee.

## 6 Systematic Options | Systematic Withdrawal Plan (SWP) continued

### B Update Existing SWP

**Note:** This form must be received at least 3 business days prior to the effective date of the next transaction in order to change or terminate your transaction.

If you are changing your bank information, please indicate the last date you would like your current SWP to run:

☐ Stop Immediately

☐ Specific Date \_\_\_\_\_  
(MM/DD/YYYY)

**Note:** Your SWP will be stopped immediately if no date is specified

**Note:** The SWP will be withdrawn on the date requested or the first business day after.

FUND AND ACCOUNT NUMBER

SWP START DATE (MONTH/YEAR)

DAY(S) OF THE MONTH

DOLLAR AMOUNT

Frequency (check one): ☐ Monthly ☐ Quarterly ☐ Semi-Annually ☐ Annually

Send proceeds by (check one): ☐ Check ☐ ACH to (check one): ☐ Existing Bank Info ☐ New Bank Info\* ☐ Special Payee\*

MAKE CHECK PAYABLE TO

STREET ADDRESS / CITY / STATE / ZIP

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If you are changing your bank information, please indicate the last date you would like your current SWP to run:

☐ Stop Immediately

☐ Specific Date \_\_\_\_\_  
(MM/DD/YYYY)

**Note:** Your SWP will be stopped immediately if no date is specified

**Note:** The SWP will be withdrawn on the date requested or the first business day after.

FUND AND ACCOUNT NUMBER

SWP START DATE (MONTH/YEAR)

DAY(S) OF THE MONTH

DOLLAR AMOUNT

Frequency (check one): ☐ Monthly ☐ Quarterly ☐ Semi-Annually ☐ Annually

Send proceeds by (check one): ☐ Check ☐ ACH to (check one): ☐ Existing Bank Info ☐ New Bank Info\* ☐ Special Payee\*

MAKE CHECK PAYABLE TO

STREET ADDRESS / CITY / STATE / ZIP

## 6 Systematic Options | Systematic Withdrawal Plan (SWP) continued

### B Update Existing SWP continued

If you are changing your bank information, please indicate the last date you would like your current SWP to run:

☐ Stop Immediately

☐ Specific Date \_\_\_\_\_  
(MM/DD/YYYY)

**Note:** Your SWP will be stopped immediately if no date is specified

**Note:** The SWP will be withdrawn on the date requested or the first business day after.

FUND AND ACCOUNT NUMBER

SWP START DATE (MONTH/YEAR)

DAY(S) OF THE MONTH

DOLLAR AMOUNT

Frequency (check one): ☐ Monthly ☐ Quarterly ☐ Semi-Annually ☐ Annually

Send proceeds by (check one): ☐ Check ☐ ACH to (check one): ☐ Existing Bank Info ☐ New Bank Info\* ☐ Special Payee\*

MAKE CHECK PAYABLE TO

STREET ADDRESS / CITY / STATE / ZIP

\*Requesting proceeds to a checking or savings account may require a signature guarantee if we do not have the bank information on record. Please complete the Bank Information section to establish bank information. Establishing a Special Payee may require a signature guarantee.



## 7 Signature & Certification

I have read and understand the prospectus for Ariel Investment Trust. I understand the Fund's investment objectives and policies and agree to be bound by the terms of the prospectus. I agree to notify the Fund of any errors or discrepancies within 45 days after the date of the statement confirming a transaction. The statement will be deemed to be correct, and the Fund and its transfer agent shall not be liable if I fail to notify the Fund within such time period. I certify that I am of legal age and have legal capacity to initiate requests on the selected account.

The Funds, the applicable Fund, its transfer agent, and any officers, directors, employees, or agents of these entities will not be responsible for banking system delays beyond their control. By completing this form, I authorize my bank to honor all entries to my bank account initiated through U.S. Bank, NA, on behalf of the applicable Fund. U.S. Bank Global Fund Services and the Fund family will not be liable for acting upon instruction believed to be genuine and in accordance with the procedures described in the prospectus or the rules of the Automated Clearing House. I authorize U.S. Bank Global Fund Services to obtain a third party report for the purposes of authenticating the bank information that I provided.

I certify that all information in the Account Maintenance Form is accurate, and agree to hold U.S. Bank Global Fund Services harmless for any actions taken as a result of information I have provided. I understand that I am responsible for any tax consequences which may result from information I have provided. I understand that I am responsible for any tax consequences which may result from the election(s) I have made. I have been advised to consult my tax advisor regarding any questions about my request.

PRINTED NAME OF OWNER / TRUSTEE / CUSTODIAN / AUTHORIZED SIGNER

X

SIGNATURE OF OWNER / TRUSTEE / CUSTODIAN / AUTHORIZED SIGNER

DATE (MM/DD/YYYY)

PRINTED NAME OF OWNER / TRUSTEE / CUSTODIAN / AUTHORIZED SIGNER

X

SIGNATURE OF JOINT OWNER / CO-TRUSTEE / AUTHORIZED SIGNER

DATE (MM/DD/YYYY)

PRINTED NAME OF OWNER / TRUSTEE / CUSTODIAN / AUTHORIZED SIGNER

X

SIGNATURE OF JOINT OWNER / CO-TRUSTEE / AUTHORIZED SIGNER

DATE (MM/DD/YYYY)

**\*If shares are registered in (1) joint names, ALL persons must sign, (2) custodian for a minor, the custodian must sign, (3) a trust, ALL trustees must sign, or (4) a corporation or other entity, an authorized signer must sign.**

If required, a signature guarantee or a signature validation may be obtained from an officer of a bank, savings association, credit union, a member firm of a domestic stock exchange, or the Financial Industry Regulatory Authority, that is an eligible guarantor institution. A notary public from a financial institution is able to provide an acceptable guarantee. The notary public's business card or a signed letter from the notary public on the financial institution's letterhead must accompany the form.

We suggest you contact your financial institution to verify the documentation required to obtain a signature guarantee or notary stamp for your specific situation.

SIGNATURE GUARANTEE/SIGNATURE VALIDATION/NOTARY STAMP

## 8 Bank Account Owner Signature(s) and Signature Guarantee | see Bank Information section

If the bank information provided in the Bank Information section does not list a registered account owner, trustee, or authorized signer as a bank account owner, ALL bank account owners must sign below and obtain a signature guarantee.

X

SIGNATURE OF BANK ACCOUNT OWNER

X

SIGNATURE OF BANK ACCOUNT OWNER

SIGNATURE GUARANTEE

SIGNATURE GUARANTEE

We suggest you contact your financial institution to verify the documentation required to obtain a signature guarantee for your specific situation.