



Name Change



Please use this form to change your name on your Ariel Investments account(s). Once completed, mail this form to **Ariel Investments, c/o U.S. Bank Global Fund Services, P.O. Box 701, Milwaukee, WI 53201-0701**. For overnight delivery, send to **Ariel Investment Trust, c/o U.S. Bank Global Fund Services, 615 East Michigan Street, Floor 3, Milwaukee, WI 53202-5207**. If you have any questions or need assistance, please call us at **800.292.7435**.

Please print all items except signature(s).

1 CURRENT ACCOUNT OWNER INFORMATION

Please provide your name and address exactly as they appear on your most recent Ariel Investments account statement. Also provide the Fund names and associated account numbers for each account where your name appears in the registration. Be sure to include accounts held in your name and Social Security number as well as those which may be registered under a different Social Security number (e.g., joint account, custodial account or trust).

First Name	Middle Initial	Last Name	
Street Address	City	State	Zip
Mailing Address (if different from above)	City	State	Zip
Email Address	Daytime Phone	Evening Phone	
Fund Name	Account Number		

Please attach a separate list for any additional accounts that may be affected by this name change.

2 UPDATED ACCOUNT OWNER INFORMATION

Please provide updated information exactly as you would like it to appear on your Ariel Investments account(s). This includes your new name and, if applicable, new address. If the mailing address is a post office box, a street address is also required by the USA Patriot Act. APO and FPO addresses will be accepted. Foreign addresses are not allowed.

First Name	Middle Initial	Last Name	
Street Address	City	State	Zip
Mailing Address (if different from above)	City	State	Zip
Email Address	Daytime Phone	Evening Phone	

Please select one:

- ☐ I have enclosed a copy of my legal name change document (e.g., a marriage certificate or divorce decree).
- ☐ I have not attached a legal name change document and have obtained a signature guarantee in Section 5.

3 BANKING INSTRUCTIONS (OPTIONAL)

Complete this section only if you would like to add or change banking information for your account. Banking information is required for an Automatic Investment Program and to perform transactions by telephone or arielinvestments.com. Please be sure to include a voided check.

By completing this section, you authorize Ariel to deduct money from your bank account via ACH to purchase shares into your Ariel account and to send redemption proceeds via wire or ACH to your bank account of record. There is a \$15 fee for next-day wire and no fee for ACH (transfer takes 2–3 days).

Choose one of the following options and provide the applicable details:

☐ I do not have banking instructions on file and would like to add them to my account (select all that apply):

- ☐ For future purchases and redemptions
- ☐ For my Automatic Investment Program

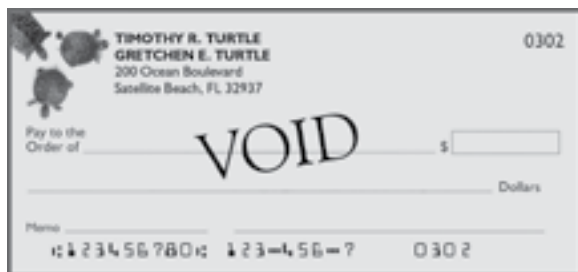
☐ I have banking instructions on file and would like to add another set of instructions to my account (select all that apply):

- ☐ For future purchases and redemptions
- ☐ For my automatic investment plan

☐ I would like to remove my current banking instructions on file and replace them with new banking instructions (select all that apply):

- ☐ For future purchases and redemptions
- ☐ For my Automatic Investment Program

☐ I would like to remove my current banking instructions on file.



☐ CHECKING ☐ SAVINGS

ATTACH VOIDED CHECK HERE

Your request cannot be processed without a voided check or encoded deposit slip for the account you wish to designate as your bank account of record.

Note: Checks must be preprinted with your name and address. We cannot accept starter checks.

Please note that a signature guarantee may be required for any redemption requests received by the Funds within 30 calendar days of a change in banking instructions.

4 SIGNATURES

Please provide a signature in both your former and new names.

X

Signature of Account Owner in Former Name (PRINT FORM AND SIGN)

Date

X

Signature of Account Owner in New Name (PRINT FORM AND SIGN)

Date

5 SIGNATURE GUARANTEE (IF REQUIRED)

A signature guarantee is required if you have not enclosed a legal name change document referenced in Section 2, or if none of the names on the voided check included in Section 3 can be found on your Ariel Investments account registration. A signature guarantee may be obtained from a bank, a member of a national securities exchange, savings and loan associations, credit union, broker or other acceptable financial institutions. Please note that a Notary Public stamp or seal will not be accepted.

Name of Institution Providing Signature Guarantee

X

Officer Signature (PRINT FORM AND SIGN)

Date

Place Signature Guarantee Stamp Here